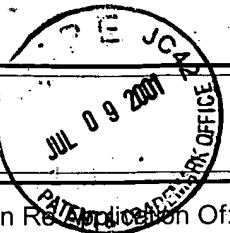


Receipt

7R



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
113197-004

In Re: **Isono, Y. et al.**

Serial No.
09786,248

Filing Date
February 28, 2001

Examiner
Unknown

Group Art Unit
2874

Title: **OPTICAL MODULE, OPTICAL ELEMENT ATTACHMENT METHOD, AND RECEPTACLE-FITTED OPTICAL MODULE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Request for Corrected Filing Receipt; Filing Receipt; Return Receipt Postcard

RECEIVED
NOV 21 2001
TECHNOLOGY CENTER 2806

in the above identified application.

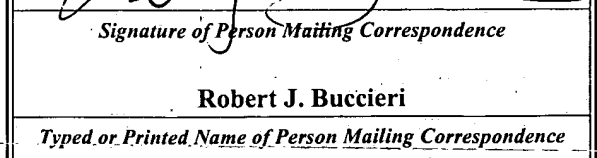
- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.


Signature

Dated: **July 5, 2001**

Michael S. Leonard (Reg. No. 37, 557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60602

I certify that this document and fee is being deposited on **July 5, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.6 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence
Robert J. Buccieri
Typed or Printed Name of Person Mailing Correspondence

CC:



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Isono, Y., et al.

Atty Docket No.: 113197-004

Serial No.: 09/786,248

Group Art Unit: 2874

Filed: February 28, 2001

For: **OPTICAL MODULE, OPTICAL ELEMENT ATTACHMENT METHOD,
AND RECEPTACLE-FITTED OPTICAL MODULE**

#5
OPR
J. McMillan
12/12/01

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

☒ incorrectly entered

and/or

☐ omitted

☐ Inventor

☐ Applicants

☒ Title

☐ Attorney Docket No.

☐ Serial Number

☐ Total Claims and/or Independent Claims

☒ Other - Foreign Application Dates

in that the filing receipt should read as follows:

**OPTICAL MODULE, OPTICAL ELEMENT ATTACHMENT METHOD,
AND RECEPTACLE-FITTED OPTICAL MODULE**

JAPAN 11-237805 8/25/1999

JAPAN 11-237806 8/25/1999

Enclosed for the Patent Office's convenience is a copy of the Filing Receipt.

TECHNOLOGY CENTER 2800

NOV 21 2001

RECEIVED

3. (complete the following applicable item A or B)

A. ☒ The correction is not due to any error by Applicants and no fee is due.

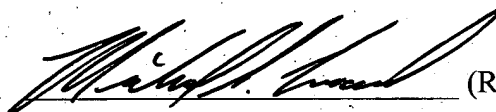
OR

B. ☐ The correction is due to Applicant's error and the fee therefor under 37 CFR

1.19(h) of \$25.00 is paid as follows:

☐ Enclosed is a check for \$25.00.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required to Account No. 02-1818.



(Reg. No. 37,557)

Michael S. Leonard
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, IL 60690-1135
Tel: (312) 807-4270

Dated July 5, 2001

RECEIVED
JUL 21 2001
TECHNOLOGY CENTER 2800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/786,248	06/04/2001	2874	990	113197-004	26	18	1

CONFIRMATION NO. 8139

FILING RECEIPT



OC000000006233259

Robert M Barrett
Bell Boyd & Lloyd
PO Box 1135
Chicago, IL 60690-1135

Date Mailed: 06/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshiya Isono, Sakura-shi, JAPAN;
Tsutomu Watanabe, Sakura-shi, JAPAN;
Makoto Ohsawa, Sakura-shi, JAPAN;
Koji Tanaka, Sakura-shi, JAPAN;
Takehisa Ide, Sakura-shi, JAPAN;

RECEIVED
BELL, BOYD & LLOYD
INTELLECTUAL PROPERTY DOCKET

JUL 02 2001 TK

ATTY. MSL

DOCKET #: 113197-004

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/04630 08/27/1999 ✓

Foreign Applications

JAPAN 10-243038 08/28/1998
JAPAN 10-334807 11/25/1998
JAPAN 11-237630 08/24/1999
JAPAN 11-237631 08/24/1999
JAPAN 11-237632 08/24/1999
JAPAN 11-237805 08/24/1999 08/25/1999
JAPAN 11-237806 08/24/1999 08/25/1999

RECEIVED
NOV 21 2001
TECHNOLOGY CENTER 2800

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

~~Method of mounting optical module and optical element, and optical module with receptacle,~~
attachment method,
~~and receptacle-fitted optical module~~

Preliminary Class

385

Data entry by : NGUYEN, SON

Team : OIPE

Date: 06/27/2001



RECEIVED

MAY 21 2001

TECHNOLOGY CENTER 2800



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231